



Docket No.: UIOWA-0050

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Johna LEDDY and Pengcheng ZOU : Group Art Unit: 1773
Serial No.: 10/662,873 :
Filed: September 16, 2003 : Customer No.: 34610
For: MAGNETICALLY MODIFIED ELECTRODES AS WELL AS METHODS OF MAKING
AND USING THE SAME

INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window
Crystal Plaza Two, Lobby, Room 1B03
Arlington, Virginia 22202

Sir:

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the indicated date. Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, that information cited in the statement is considered to be and/or is material to patentability, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that was cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. §120. 1138 OG 37, 38 (May 19, 1992).

- X 1. This Information Disclosure Statement is being filed (i) within three months of the U.S. filing date of a U.S. application other than a CPA continued prosecution application under §1.53(d) OR (ii) within three months of the date of entry of the national stage as set forth in §1.491 in an international application OR (iii) before the mailing date of a first Office Action on the merits. No certification or fee is required. 37 C.F.R. §1.97(b).
- 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection OR Notice of Allowance OR an action that otherwise closes prosecution in the application. 37 C.F.R. §1.97(c).
- a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1).

- b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2).
- c. Attached is our check no. _____ in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). Please credit or debit Deposit Account No. 16-0607 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- 3. This Information Disclosure Statement is being filed after the mailing date of a Final Rejection OR Notice of Allowance OR an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fee. Attached is our check no. _____ in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). Please credit or debit Deposit Account No. 16-0607 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached. 37 C.F.R. §1.97(d).
- a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1).
- b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2).
- 4. Others:
- X 5. To the extent necessary, a petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 16-0607 and please credit any excess fees to such deposit account.

Respectfully submitted,
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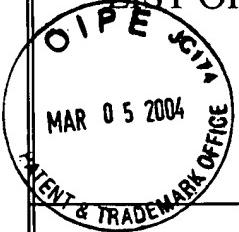
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Date: March 5, 2004

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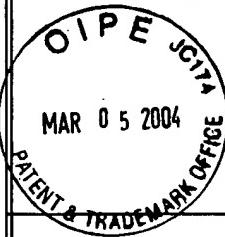
<p style="text-align: center;">LIST OF PRIOR ART CITED BY APPLICANT (PTO-1449)</p> <p style="text-align: right;">MAR 05 2004</p> <p style="text-align: left; font-size: small;">O P E R A T I O N S P A T E N T & T R A D E M A R K O F F I C E U. S. P A T E N T & T R A D E M A R K O F F I C E</p>			ATTY. DOCKET NO. UIOWA-0050		APPLN. SERIAL NO. 10/662,873	
			APPLICANT(S) Johna LEDDY and Pengcheng ZOU			
			CUSTOMER NO. 34610			
			FILING DATE September 16, 2003		GROUP 1773	
U.S. PATENT DOCUMENTS						
EXAMINER' S INITIALS	*PATENT NO.	*ISSUE DATE	*INVENTOR NAME	CLASS	SUBCLASS	FILING DATE
	6,355,166 B1	03/12/02	AMARASINGHE et al.	210	223	10/29/99
	6,106,963	08/22/00	NITTA et al.	429	19	05/05/98
	6,479,176 B2	11/12/02	LEDDY et al.	429	10	06/29/01
	5,871,625	02/16/99	LEDDY et al.	204	290 R	06/06/96
	6,322,676 B1	11/27/01	LEDDY et al.	204	296	03/25/98
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	5,817,221	10/06/98	LEDDY et al.	204	290 R	02/05/96
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	4,201,827	05/06/80	HEITKAMP	428	283	11/23/77
	3,409,471	11/05/68	F.V. STURM et al.			06/18/64
	5,869,200	02/09/99	NUNNALLY	429	10	08/12/97
U.S. PATENT APPLICATION PUBLICATIONS						
	*PATENT APPLN. PUB. NO.	*PUB. DATE	*APPLICANT	CLASS	SUBCLASS	
U.S. PATENT APPLICATIONS						
	*APPLN. NO.	*FILING DATE	*INVENTOR	CLASS	SUBCLASS	
FOREIGN PATENT DOCUMENTS						
EXAMINER' S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation
	WO01/99127A2	12/27/01	WIPO			Yes No
	JP 11323654	11/26/99	Japan (Full Japanese Text)			Abst
	JP 2000030743	01/28/00	Japan (Full Japanese Text)			Abst
	JP 2001252553	09/18/01	Japan (Full Japanese Text)			Abst
	JP 2001155746	06/08/01	Japan (Full Japanese Text)			Abst
OTHER ART (Including Author, Title, Date, Pertinent Pages, Publisher, Place of Publication, Etc.)						
	Lois Anne Zook, "Morphological Modification of Nafion for Improved Electrochemical Flux," Thesis, December 1996, pp. 1-134.					
EXAMINER			DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<p style="text-align: center;">LIST OF PRIOR ART CITED BY APPLICANT (PTO-1449)</p> <p style="text-align: right;">MAR 05 2004</p> 				ATTY. DOCKET NO. UIOWA-0050	APPLN. SERIAL NO. 10/662,873	
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	*APPLN. NO.	*FILING DATE	*INVENTOR	CLASS	SUBCLASS	
FOREIGN PATENT DOCUMENTS						
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	JP 10106581	04/24/98	Japan (Full Japanese Text)			Abst
	EP 0980105	021600	EPO			
OTHER ART (Including Author, Title, Date, Pertinent Pages, Publisher, Place of Publication, Etc.)						
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	W.B. Gu et al., "Modeling discharge and charge characteristics of nickel-metal hydride batteries," Electrochimica Acta 44, 1999, pp. 4525-4541.					
	A.L. Buchachenko, "Magnetic Effects in Chemical Reactions," Russian Chemical Reviews, May 1976, Vol. 45(5), pp. 375-390.					
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	Alexander H. Tullo, "Maintaining A Charge," C&EN Northeast News Bureau, July 15, 2002, pp. 25-26.					
	Lester B. Lave et al., "Environmental Implications of Electric Cars," Science, Vol. 268, May 19, 1995, pp. 993-995.					
	Robert F. Nelson, "Power requirements for batteries in hybrid electric vehicles," Journal of Power Sources, 2000, Vol. 91, pp. 2-26.					
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U.S. PATENT APPLICATION PUBLICATIONS

	*PATENT APPLN. PUB. NO.	*PUB. DATE	*APPLICANT	CLASS	SUBCLAS S	

U.S. PATENT APPLICATIONS

	*APPLN. NO.	*FILING DATE	*INVENTOR	CLASS	SUBCLAS S	

FOREIGN PATENT DOCUMENTS

EXAMINE R'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLAS S	Translation
						Yes No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Publisher, Place of Publication, Etc.)

D.A.J. Rand, "Battery Systems for Electric Vehicles - A State-of-the-Art Review," Journal of Power Sources, 1979, Vol. 4, pp. 101-143.
Hongge Pan et al., "Effect of magnetization treatment on the electrochemical properties of La _{0.9} Sm _{0.1} Ni _{2.0} Co _{3.0} electrode alloy," Journal of Alloys and Compounds, 2001, Vol. 327, pp. 136-140.
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Kanya Yamazaki et al., "Secondary nonaqueous electrolyte batteries containing magnetic additives," JP10106577, April 24, 1998 (Abstract only).

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